

Yuanta Financial Holding Company and Subsidiaries Guidelines of Social Welfare Activities Management

Approved by the chairman of the board on May 11, 2022

Article 1 In order to improve the effectiveness and social impact of the Group’s social welfare activities and to manage the implementation of the Company’s and its subsidiaries’ (hereinafter referred to as “each company”) public welfare strategies and dealings with strategic partners, these Guidelines have been formulated with reference to the sustainable development goals set by the United Nations and the Sustainable Development Guidelines of Yuanta Financial Holding Company.

Article 2 Each company integrates its resources to respond to the sustainable development goals of the United Nations through commercial activities, donations, corporate volunteer services or other professional services, and implements the three public welfare strategies of “Caring for the Community,” “Helping Students and Educating Talents,” and “Community Together for Good” in various social welfare activities:

1. Caring for the Community: This strategy focuses on social change and encourages each company to integrate its operations with the concept of giving back to the community, working with stakeholders to expand its social impact.
2. Helping Students and Educating Talents: This strategy continues to provide in-depth support for education, expand the number of beneficiaries, protect the rights of disadvantaged students to receive fair and high-quality education, and provide employment opportunities to help young people become self-sufficient.
3. Community Together for Good: This strategy uses business locations as a relay station for community communication, reaching out to the community, building a safety net of support, utilizing the power of volunteers, focusing on social and environmental issues, and prioritizing cooperation with strategic partners. The term “strategic partners” in these Guidelines refers to civic organizations, charitable organizations, and government agencies that are committed to improving human rights risks in the community. Groups that may be at risk for community human rights include, but

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are not limited to, children, indigenous people, women, people with disabilities, or vulnerable groups.

Article 3 The Corporate Sustainability Office shall track on a quarterly basis how each company is responding to social or environmental issues of concern to the three strategies through specific actions.

Social issues in the preceding paragraph include, such as, education in remote areas, human trafficking, forced labor, child labor, freedom of association, right to collective bargaining, equal pay for equal work, discrimination, etc. Environmental issues include, such as, biodiversity, climate change, resource scarcity, environmental pollution, etc.

Article 4 Each company shall set short, medium and long term goals for the three major public welfare strategies. The Corporate Sustainability Office shall hold annual meetings to review and revise the implementation of the goals in conjunction with each company.

Article 5 These Guidelines shall be implemented after it has been approved by the chairman, and the same applies when it is amended.