

**Training of the Head of Corporate Governance of Yuanta Financial Holding Company**

Company	Name	Position	Starting Date of Training	Ending Date of Training	Course Name	Organizer	Hours of Training	Total Hours of Training for the Year
Financial Holdings	Ya-Pin (Lawrence) Lee	Head of Corporate Governance	2023.03.16	2023.03.16	Money Laundering Prevention and Countering Financing of Terrorism and Directors' Legal Obligations and Responsibilities Promotion	Institute of Financial Law and Crime Prevention	3	14
			2023.03.29	2023.03.29	Seminar on Analysis of <i>Climate Change Response Act</i> and Corporate Response Strategies	Taiwan Corporate Governance Association	2	
			2023.05.18	2023.05.18	<i>Financial Consumer Protection Act</i> and Fair Treatment of Customers	Taiwan Securities Association	3	
			2023.07.13	2023.07.13	Net-Zero Carbon Emissions and Corporate Governance	Taiwan Securities Association	3	
			2023.09.07	2023.09.07	The Help and Impact of Emerging Information Security Technologies on Digital Innovation in Finance	Taiwan Securities Association	3	

[Note] In accordance with Article 24 of the *Operation Directions for Compliance with the Establishment of Board of Directors by TWSE Listed Companies and the Board's Exercise of Powers* of the Taiwan Stock Exchange Corporation (TWSE), the head of corporate governance shall have at least twelve (12) hours of training per year, in addition to the eighteen (18) hours of training that a first-time appointee shall have within one year from the date of assuming the position. Mr. Ya-Pin (Lawrence) Lee has served as the head of corporate governance since November 28, 2018, and has completed the twelve (12) hours of annual training in September 2023.