

Training of the Head of Corporate Governance of Yuanta Financial Holding Company

Company	Name	Position	Starting Date of Training	Ending Date of Training	Course Name	Organizer	Hours of Training	Total Hours of Training for the Year
Financial Holdings	Ya-Pin (Lawrence) Lee	Head of Corporate Governance	2024.03.20	2024.03.20	Seminar on Enhancing Resilience and Strengthening Sustainable Governance	Taiwan Corporate Governance Association	2	14
			2024.04.18	2024.04.18	Money Laundering Prevention and Countering Financing of Terrorism Practices and Insider Trading Prevention Promotion	Institute of Financial Law and Crime Prevention	3	
			2024.05.16	2024.05.16	<i>Financial Consumer Protection Act</i> and Fair Treatment of Customers (Course content includes “Financial Friendliness and the Convention on the Rights of Persons with Disabilities”)	Taiwan Securities Association	3	
			2024.09.05	2024.09.05	Cybersecurity Threat Trends and Crisis Management	Taiwan Securities Association	3	
			2024.10.17	2024.10.17	Trends in Sustainable Financial Development and the Nature-Related Financial Disclosures Framework (TNFD)	Taiwan Securities Association	3	

[Note] In accordance with Article 24 of the *Operation Directions for Compliance with the Establishment of Board of Directors by TWSE Listed Companies*

and the Board's Exercise of Powers of the Taiwan Stock Exchange Corporation (TWSE), the head of corporate governance shall have at least twelve (12) hours of training per year, in addition to the eighteen (18) hours of training that a first-time appointee shall have within one year from the date of assuming the position. Mr. Ya-Pin (Lawrence) Lee has served as the head of corporate governance since November 28, 2018, and has completed the twelve (12) hours of annual training in October 2024.